SOX11 expression is highly specific for mantle cell lymphoma and identifies the cyclin D1-negative subtype

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Abstract

Background

Cyclin D1-negative mantle cell lymphoma is difficult to distinguish from other small B-cell lymphomas. The clinical and pathological characteristics of patients with this form of lymphoma have not been well defined. Overexpression of the transcription factor SOX11 has been observed in conventional mantle cell lymphoma. The aim of this study was to determine whether this gene is expressed in cyclin D1-negative mantle cell lymphoma and whether its detection may be useful to identify these tumors.

Design and Methods

The microarray database of 238 mature B-cell neoplasms was re-examined. SOX11 protein expression was investigated immunohistochemically in 12 cases of cyclin D1-negative mantle cell lymphoma, 54 cases of conventional mantle cell lymphoma, and 209 additional lymphoid neoplasms.

Results

SOX11 mRNA was highly expressed in conventional and cyclin D1-negative mantle cell lymphoma in 53% of the cases of Burkitt’s lymphoma but not in any other mature lymphoid neoplasm. SOX11 nuclear protein was detected in 50 cases (95%) of conventional mantle cell lymphoma and also in the 12 cyclin D1-negative cases of mantle cell lymphoma, the six cases of lymphoblastic lymphomas, in two of eight cases of Burkitt’s lymphoma, and in two of three T-prolymphocytic leukemias but was negative in the remaining lymphoid neoplasms. Cyclin D2 and D3 mRNA levels were significantly higher in cyclin D1-negative mantle cell lymphoma than in conventional mantle cell lymphoma but the protein expression was not discriminative. The clinico-pathological features and outcomes of the patients with cyclin D1-negative mantle cell lymphoma identified by SOX11 expression were similar to those of patients with conventional mantle cell lymphoma.

Conclusions

SOX11 mRNA and nuclear protein expression is a highly specific marker for both cyclin D1-positive and negative mantle cell lymphoma.

Key words: mantle cell lymphoma, cyclin D1, SOX11.

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Introduction

Mantle cell lymphoma (MCL) is an aggressive lymphoid neoplasm genetically characterized by the presence of the t(11;14)(q13;q32) translocation that activates constitutive expression of cyclin D1, an important regulator of the G1/S phase of the cell cycle. In addition to this primary genetic alteration, MCL also has a relatively specific profile of secondary genetic aberrations that include frequent losses, gains and recurrent chromosomal breakpoints. In spite of the constant overexpression of cyclin D1 in MCL, some studies reported tumors with similar morphological and phenotypic characteristics but lacking the t(11;14) translocation and cyclin D1 expression. However, it was controversial whether the apparent lack of cyclin D1 was a real biological phenomenon or was due to technical limitations in its detection. Fu et al. described six cases of MCL that were negative for cyclin D1 and the t(11;14) translocation but had the same gene expression profile as conventional MCL. These cases also had the same profile of secondary genetic aberrations as conventional MCL and different from that of other B-cell neoplasms supporting the idea that cyclin D1-negative MCL is a real biological variant of this tumor.

The recognition of cyclin D1-negative MCL is difficult because it may resemble other small B-cell lymphomas morphologically and phenotypically. However, this distinction is clinically very relevant. Although the clinical information on cyclin D1-negative MCL is limited, published data indicate that the behavior of the variant is as aggressive as that of conventional MCL. On the other hand, patients with small B-cell lymphomas mimicking MCL have a significantly better outcome than those with real MCL. It is, therefore, important to find reliable biomarkers that may allow the identification of cyclin D1-negative MCL in clinical practice.

Cyclin D1-negative MCL seem to express high levels of cyclin D2 or D3 which, in some cases, are associated with translocations of these genes. These cyclins are also expressed at lower levels in other B-cell lymphomas. SOX11, a neural transcription factor, was found to be expressed at higher levels in leukemic MCL cells than in naïve B cells and other B-cell lymphomas. Nuclear expression of the protein has been identified in conventional MCL but not in other lymphoid neoplasms. Thus, the presence of SOX11 may be a useful identifier of cyclin D1-negative MCL. However, the spectrum of lymphoid neoplasms in previous studies was limited and no real cases of MCL negative for cyclin D1 and 11q13 rearrangements were included. The goal of our study was, therefore, to confirm the specific expression of SOX11 in MCL and define its value as a biomarker to identify cyclin D1-negative MCL.

Design and Methods

Case selection

The six initial cases of cyclin D1-negative MCL and one case described by Chuang et al. were included in this study. In addition, we identified five new cases that had the morphological and phenotypic features of MCL but were negative for the t(11;14) translocation and cyclin D1 expression. At least three hematopathologists reviewed these cases and confirmed the diagnosis. The clinical follow-up of five of the six patients who were alive at the time of the previous respective reports was updated and the clinical charts of the new patients were reviewed. Lymph node biopsies from these 12 cyclin D1-negative MCL cases were available for the study. For comparison, we included in the study a large series of 209 patients with other lymphomas (Table 1). The local medical ethical committee approved the study.

Microarray gene expression profiling

To evaluate the mRNA expression of SOX11, cyclin D1, D2, and D3 in MCL and other non-Hodgkin’s lymphomas we reviewed the gene expression data generated in different studies of the Leukemia Lymphoma Molecular Profiling Project (LLMPP). The CEL files of 258 lymphomas derived from different GeneChip types were combined. One set contained 163 CEL files obtained from HU133plus 2.0 GeneChip arrays (Affymetrix, Santa Clara, USA) representing 33 cases of Burkitt’s lymphoma (BL), 46 cases of diffuse large B-cell lymphoma (DLBCL), 20 primary mediastinal B-cell lymphomas (PMBL), and 64 MCL. The second set included 75 CEL files generated from HU133A GeneChip arrays representing 44 follicular lymphomas (FL) and 51 MCL, including six cyclin D1-negative MCL. To study both sets of different chip types together, we used the function combineAffyBatch described in the Matchprobes

Table 1. SOX11 nuclear protein expression in lymphoid neoplasms.

<table>
<thead>
<tr>
<th>Neoplasm</th>
<th>n</th>
<th>SOX11-positive (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cyclin D1-negative MCL</td>
<td>12</td>
<td>12 (100%)</td>
</tr>
<tr>
<td>Mantle cell lymphoma</td>
<td>54</td>
<td>50 (93%)</td>
</tr>
<tr>
<td>Chronic lymphocytic leukemia</td>
<td>12</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Splenic marginal zone lymphoma</td>
<td>9</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Nodal marginal zone lymphoma</td>
<td>11</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Follicular lymphoma</td>
<td>22</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Diffuse large B-cell lymphoma</td>
<td>63</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Burkitt’s lymphoma</td>
<td>8</td>
<td>2 (25%)*</td>
</tr>
<tr>
<td>Classical Hodgkin’s lymphoma</td>
<td>36</td>
<td>1 (3%)**</td>
</tr>
<tr>
<td>NLPHL</td>
<td>5</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Multiple myeloma (cyclinD1-positive)</td>
<td>2</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>B-cell lymphoblastic lymphoma/leukemia</td>
<td>1</td>
<td>1 (100%)</td>
</tr>
<tr>
<td>T-cell lymphoblastic lymphoma/leukemia</td>
<td>5</td>
<td>5 (100%)</td>
</tr>
<tr>
<td>Peripheral T-cell lymphoma, NOS</td>
<td>15</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Angioimmunoblastic T-cell lymphoma</td>
<td>5</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Hepatosplenic T-cell lymphoma</td>
<td>3</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Anaplastic large cell lymphoma (ALK+)</td>
<td>3</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Anaplastic large cell lymphoma (ALK-)</td>
<td>3</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>T-cell prolymphocytic leukemia</td>
<td>3</td>
<td>2 (66%)</td>
</tr>
<tr>
<td>Extramedial NK/T-cell lymphoma, nasal type</td>
<td>3</td>
<td>0 (0%)</td>
</tr>
</tbody>
</table>

MCL: mantle cell lymphoma; NLPHL: nodular lymphocytic predominant Hodgkin’s lymphoma; NOS: not otherwise specified; *three additional cases showed weak immunostaining; **the staining intensity was weak.
package from Bioconductor (http://www.bioconductor.org), which enables data from different Affymetrix genechip types to be combined into one single set merging the intensities from the matching probes. Afterwards, a single set of 238 arrays was normalized using the robust microarray analysis method. The signal value for all the probe sets corresponding to cyclin D1, cyclin D2, cyclin D3, and SOX11 were extracted from the normalized set, and the mean of all the probe sets representing the different genes was used as the final gene level expression for each gene. These data were represented in a heat map using the DNA-Chip analyzer software v1.3 (dChip, Boston, MA, USA).

**Immunohistochemistry**

SOX11 protein expression was studied in tissue microarrays and whole tissue sections. Formalin-fixed paraffin-embedded tissue sections were stained for SOX11 (1:100; Atlas Antibodies, Stockholm, Sweden), cyclin D1 (1:100; Thermo Fisher Scientific, Runcorn, UK), cyclin D2 (1:100) and cyclin D3 (1:50) (Cell Signaling Technology, Beverly, MA, USA) in the automated platform BondMax (VisionBiosystems, Mount Waverley, Victoria, Australia). We used heat-induced retrieval with ER2 BondMax buffer solution for 15 min and detected positivity with a horseradish-peroxidase–linked polymer for 8 min (Define; Vision Biosystems) and 5’-3’ dianinobenzidine for 10 min.

**Quantitative polymerase chain reaction**

SOX11, cyclin D1, D2, and D3 mRNA expression was investigated by quantitative reverse transcriptase-polymerase chain reaction (RT-PCR) in cases not included in previous microarray expression profiling studies. Total RNA was extracted from frozen tissue samples and formalin-fixed paraffin-embedded tissue blocks using the RNeasy minikit and FFPE RNeasy minikit, respectively (Qiagen, Germantown, MA, USA). The potential residual DNA was removed using the TURBO DNA-free™ kit from Ambion (Applied Biosystems) according to the manufacturer’s protocol. Complementary DNA synthesis was carried out from 1 µg of total RNA and the product was amplified and quantified using TaqMan® Universal PCR Master Mix (Applied Biosystems, Foster City, CA, USA) and TaqMan® Gene Expression Assays for SOX11 (Hs00846583_s1), CCND1 (Hs00765553_m1), CCND2 (Hs00153380_m1) and CCND3 (Hs00236949_m1) in an ABI Prism 7900HT Fast Sequence Detection System (Applied Biosystems). Relative quantification of gene expression was performed as described in the Taqman™ user’s manual and the expression levels were analyzed with the 2^{-ΔΔCt} method using human β-glucuronidase (GUS) as the endogenous control and universal human reference RNA (Stratagene, Agilent Technologies, Santa Clara, CA, USA) as the mathematical calibrator.

Using quantitative PCR, we analyzed frozen tissue obtained from nine patients with MCL, five with DLBCL, two with chronic lymphocytic leukemia, one with FL, two with splenic marginal zone lymphoma (MZL), two with nodal MZL, one with MALT MZL and three with BL. Moreover, we studied ten cyclin D1-positive and five cyclin D1-negative MCL using formalin-fixed paraffin-embedded tissue.

**Figure 1.** Heat map representing gene expression values for SOX11, CYCLIN D1 (CCND1), CYCLIN D2 (CCND2) AND CYCLIN D3 (CCND3). Cases of MCL, including CCND1-negative MCL are shown in the top half whereas other lymphoid neoplasms are displayed in the bottom half.

**Figure 2.** Quantitative RT-PCR analysis of SOX11 mRNA expression in mantle cell lymphoma (MCL), Burkitt’s lymphoma (BL) and other lymphoid neoplasms (Other).
**Fluorescence in situ hybridization**

Interphase fluorescence in situ hybridization (FISH) analysis was performed on formalin-fixed, paraffin-embedded tissue sections. The **CCND1** rearrangement was studied using a dual color break-apart probe (DAKO, Denmark code Y5414). CCND2 and CCND3 rearrangements were studied using dual color break-apart non-commercial translocation probes. The CCND2 probe consisted of two bacterial artificial chromosome (BAC) clones directly labeled using nick translation. BAC RP11-578L13 located at the 5' end of the gene was labeled in green and BAC RP11-388F6 located at the 3' end of the gene was labeled in red.

The **CCND3** locus was investigated using the previously described probes consisting of one BAC clone RP11-288J23 and three plasmid artificial chromosomes (PAC): RP5-975N23, RP1-159D8 and RP1-321B9. The BAC clones were obtained from the CHORI library (www.chori.org) available in our center and the PAC clones were provided by Dr. Gesk (Institute of Human Genetics, University Hospital Kiel, Germany). At least 200 nuclei were examined. Five tonsil samples from healthy donors were used as negative controls for paraffin-embedded tissues. The cut-off value was calculated as the mean percentage of cells with a false-positive signal constellation plus three standard deviations.

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![Image](https://example.com/image.png)

**Table 2. Clinical features of 12 patients with cyclin D1-negative MCL.**

<table>
<thead>
<tr>
<th>Age/Sex</th>
<th>B symptoms</th>
<th>Extranodal</th>
<th>LDH</th>
<th>Stage</th>
<th>IPI</th>
<th>Therapy</th>
<th>Response</th>
<th>OS (months)</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 54/F</td>
<td>No</td>
<td>No</td>
<td>Normal</td>
<td>IIIA</td>
<td>1</td>
<td>CHOP</td>
<td>CR*</td>
<td>60</td>
<td>AWD</td>
</tr>
<tr>
<td>2 61/M</td>
<td>Yes</td>
<td>PB, BM</td>
<td>High</td>
<td>IV</td>
<td>3</td>
<td>R-CHOP</td>
<td>PR</td>
<td>11</td>
<td>DOD</td>
</tr>
<tr>
<td>3 61/M</td>
<td>No</td>
<td>BM</td>
<td>Normal</td>
<td>IV</td>
<td>2</td>
<td>CHOP</td>
<td>PR</td>
<td>88</td>
<td>DOD</td>
</tr>
<tr>
<td>4 60/M</td>
<td>Yes</td>
<td>PB, BM, spleen</td>
<td>High</td>
<td>IV</td>
<td>3</td>
<td>COP+FCR</td>
<td>PR</td>
<td>26</td>
<td>DOD</td>
</tr>
<tr>
<td>5 54/M</td>
<td>No</td>
<td>BM</td>
<td>Normal</td>
<td>IV</td>
<td>2</td>
<td>CHOP</td>
<td>PR</td>
<td>101</td>
<td>DOD</td>
</tr>
<tr>
<td>6 77/M</td>
<td>No</td>
<td>BM, Normal</td>
<td>IV</td>
<td>3</td>
<td>CTX (KNO SPE)</td>
<td>PR</td>
<td>30</td>
<td>AWD</td>
<td></td>
</tr>
<tr>
<td>7 56/M</td>
<td>Yes</td>
<td>BM, Liver</td>
<td>High</td>
<td>IVB</td>
<td>2</td>
<td>CEOP</td>
<td>N/A</td>
<td>25</td>
<td>DOD</td>
</tr>
<tr>
<td>8 60/M</td>
<td>N/A</td>
<td>Colorectal tumor</td>
<td>N/A</td>
<td>IAIE</td>
<td>R-CHOP</td>
<td>N/A</td>
<td>39</td>
<td>AWD</td>
<td></td>
</tr>
<tr>
<td>9 52/F</td>
<td>No</td>
<td>BM</td>
<td>Normal</td>
<td>IVA</td>
<td></td>
<td>R-CHOP</td>
<td>PR</td>
<td>37</td>
<td>AWD</td>
</tr>
<tr>
<td>10 70/M</td>
<td>Yes</td>
<td>Tonsil</td>
<td>Normal</td>
<td>IIIE</td>
<td></td>
<td>R-CHOP</td>
<td>PR</td>
<td>37</td>
<td>DOD</td>
</tr>
<tr>
<td>11 39/M</td>
<td>Yes</td>
<td>PB, BM</td>
<td>High</td>
<td>IV</td>
<td>2</td>
<td>R-CHM/P/BO</td>
<td>PR</td>
<td>24</td>
<td>DOD</td>
</tr>
<tr>
<td>12 68/M</td>
<td>No</td>
<td>No</td>
<td>Normal</td>
<td>IA</td>
<td>1</td>
<td>R-CHOP+RDT</td>
<td>CR*</td>
<td>71</td>
<td>DOD</td>
</tr>
</tbody>
</table>

LDH: lactate dehydrogenase; IPI: International Prognostic Index; OS: overall survival; PB: peripheral blood; BM: bone marrow; C: cyclophosphamide; H: adriamycin; O: vincristine; P: prednisone; R: rituximab; E: etoposide; F: fludarabine; Vm: temozol; B: bleomycin; RDT: radiotherapy; CR: complete remission; PR: partial response; AWD: alive with disease; DOD: died of disease; N/A: not available. * Relapsed (see text).
**Statistical analysis**

The χ² test was used to evaluate the different levels of SOX11 expression. The quantitative RT-PCR results of SOX11, cyclin D1, D2 and D3 expression levels were compared using the Mann-Whitney test. *p* values less than 0.05 were considered statistically significant. Statistical tests were performed using SPSS v14 software (SPSS, Chicago, IL, USA).

**Results**

**SOX11 mRNA expression in B-cell lymphomas**

To confirm the specificity of SOX11 mRNA expression in MCL we assembled multiple microarray datasets derived from previous LLMPP studies (Figure 1). Most of the MCL showed higher levels of SOX11 (mean=1122.9; SD=754.6) compared to BL (n=33; mean=122.5; SD=136.3), DLBCL (n=46; mean=26.951; SD=14.4), PMBL (n=20; mean=24.2; SD=2.8) and FL (n=44; mean=26.3; SD=1.8). However, 33% of the cases of BL (11 of 33) showed similar SOX11 expression to that displayed by the MCL with the lower levels (3-22%), indicating that SOX11 overexpression is not completely restricted to MCL.

The mRNA expression of SOX11 was further investigated by quantitative RT-PCR in frozen samples from an additional series of MCL and a range of B-cell lymphomas (Figure 2). SOX11 was highly expressed in eight out of nine MCL (88%) (mean=8.9; SD=9.4). Concordantly with microarray expression data, two of the three cases of BL showed similar mRNA levels to those observed in MCL (mean=3.5; SD=4.9) while expression was undetectable or very low in other types of lymphomas (mean=0.05; SD=0.1) (Figure 2).

**SOX11 protein expression in mantle cell lymphoma and other non-Hodgkin’s lymphoma**

To confirm the specific detection of SOX11 in MCL we investigated protein expression by immunohistochemistry in a series of 54 cyclin D1-positive MCL, and 209 other lymphoid neoplasms (Table 1). Interestingly, virtually all MCL were strongly positive for SOX11 (50/54, 93%), with a nuclear pattern (Figure 3). The staining was intense and relatively homogeneous in most of the cells. Compared to cyclin D1 staining, SOX11 reactivity was stronger and more homogeneous.

Interestingly, the five T-cell and the B-cell lymphoblastic leukemia/lymphomas showed strong SOX11 nuclear expression. Notably, one case of classic Hodgkin’s lymphoma, two of eight BL and two of the three T-cell prolymphocytic leukemias were also positive. The remaining Hodgkin’s lymphoma, T and B-cell lymphomas, including two multiple myeloma with t(11;14) and cyclin D1 expression were negative or showed only a cytoplasmic dot-like staining (Table 1, Figure 3).

We also examined the expression of SOX11 in reactive tonsil lymph node and spleen specimens. No nuclear expression was observed in any lymphocyte compartment. Only cytoplasmic staining was seen in cells from reactive germinal centers.

**SOX11 expression in cyclin D1-negative mantle cell lymphoma**

To determine whether SOX11 expression could be a reliable marker for cyclin D1-negative MCL we analyzed SOX11 expression in 12 cases of cyclin D1-negative MCL. Five of the six initial cases examined by microarrays showed very high levels, similar to the remaining cyclin D1-positive MCL (Figure 1). SOX11 mRNA expression was then investigated in five additional cases of cyclin D1-negative MCL by quantitative RT-PCR using RNA extracted from formalin-fixed paraffin embedded tissues. The five cases showed high levels (mean=4.28; SD=4.38), similar to those observed in conventional cyclin D1-positive MCL obtained from equivalent tissue (mean=12.9; SD=19.46) (*p*=0.39). SOX11 protein expression was examined by immunohistochemistry in the 12 cyclin D1-negative MCL, and all of them showed strong nuclear positive staining similar to that occurring in conventional cyclin D1-positive MCL (Figure 3).
Clinical and pathological characteristics of cyclin D1-negative mantle cell lymphoma

The clinical characteristics of the 12 patients with cyclin D1-negative MCL are summarized in Table 2. Nine patients were males and the median age of the 12 patients was 60 years (range, 39-70 years). B-symptoms were observed in five patients (46%). Generalized lymphadenopathy was the most common form of presentation and extranodal sites were involved in ten patients (83%), bone marrow being the most common site (67%). Serum levels of lactate dehydrogenase were elevated in four patients (36%). All patients received different chemotherapeutic treatments. Case 1 relapsed with peripheral blood, bone marrow and colorectal involvement 26 months after the initial diagnosis and case 12 relapsed with prostate involvement 12 months after the initial diagnosis. After a median follow-up for surviving patients of 38 months (range, 37-60 months), eight patients had died of progressive lymphoma and four patients were alive with disease.

The median overall survival was 71 months. All cases had a diffuse growth pattern, 11 with classical and one with pleomorphic cytology. All tumors expressed mature B-cell markers and CD5. Cyclin D1 was negative in the tumor cells but staining was detected in occasional endothelial cells or histiocytes. The absence of the t(11;14) translocation was confirmed by FISH analysis in all cases (Figure 5).

We studied mRNA levels of cyclin D1, D2 and D3 in five of the six new cases. We confirmed absence or virtually negative levels of cyclin D1 by quantitative RT-PCR. Notably, high mRNA levels of cyclin D2 (3 cases) or cyclin D3 (1 case) were found in four of the five cases studied, whereas the remaining case showed similar cyclin D2 levels as the cyclin D1-positive tumors.

To determine the potential use of cyclin D2 or D3 protein expression to identify cyclin D1-negative MCL we stained the 12 cyclin D1-negative MCL, 4 conventional MCL and 21 other B-cell lymphomas. Most of the tumors showed nuclear positivity for both cyclins

Figure 5. FISH analysis on cyclin D1-negative MCL. (A) CCND1 break-apart probe showing two fusion signals in each cell; (B) CCND2 probe showing a split signal pattern suggesting a rearrangement of the gene.

Figure 6. Cyclin D2 protein expression in conventional and cyclin D1-negative MCL and in various lymphoid neoplasms. (A,B) conventional MCL; (C,D) cyclin D1-negative MCL; (E,F) small lymphocytic lymphoma; (G,H) follicular lymphoma; (I,J) splenic marginal zone lymphoma.
Discussion

Cyclin D1-negative MCL were initially identified by expression profiling studies as small B-cell neoplasms with the morphological, immunophenotypic, and gene expression features of conventional MCL but lacking both cyclin D1 expression and the 11q13 translocation and expressing high levels of cyclin D2 or D3.9,20 Subsequent genetic studies demonstrated that cyclin D1-negative MCL may carry chromosomal translocations fusing these two cyclins to the heavy or light chains of the immunoglobulin genes and that they also exhibit the same secondary genetic aberrations as conventional MCL.10,12-14 These observations indicated that cyclin D1-negative and positive MCL share similar genetic features suggesting that they are part of the same biological entity. The number of cases reported is still limited and the clinical characteristics of the patients are not well defined.12 We have now expanded these observations including five new cases and updating the follow-up of the previously reported patients. We found that most of the 12 cyclin D1-negative cases of MCL occurred in elderly males; typically the patients presented in advanced stage with frequent peripheral blood and extranodal involvement. The clinical behavior was also aggressive with poor response to therapy and an overall survival rate not substantially different from that in patients with cyclin D1-positive MCL.

The aggressive clinical evolution of these lymphomas highlights the need for reliable markers to identify such malignancies and to distinguish them from other small B-cell lymphomas because a different therapeutic management is advocated. Indeed, Yatabe et al. observed a significantly better outcome in patients with small B-cell lymphomas resembling MCL than in patients with true MCL.9 The differential diagnosis between cyclin D1-negative MCL and other small B-cell lymphomas may be difficult. Morphologically the irregular nuclei characteristic of MCL may be observed in some cases of chronic lymphocytic leukemia (CLL),21 or marginal zone lymphoma (MZL).22 CD23 is expressed in CLL but also in occasional cases of MCL.23 On the other hand, CD5 and CD43 expression, a common feature of MCL and CLL may also occur in MZL.24 Finally, although CD10 or BCL-6 have not been detected in cyclin D1-negative MCL some conventional MCL may express these germinal center markers.25,26 On this background, new and reliable biomarkers are of paramount importance.

In this study, we investigated the potential value of SOX11 expression as a marker for cyclin D1-negative MCL. Two recent studies16,17 reported overexpression of this transcription factor as highly specific to MCL because it was detected in around 90% of the MCL examined but in none of the CLL or FL and only weakly in two of 30 DLBCL. To confirm these findings and expand the number of lymphoid neoplasms investigated we first reviewed the expression profile database of the cases examined in the LLMP consortium. SOX11 was highly expressed in virtually all conventional MCL (98%) but the levels were undetectable in all FL, DLBCL, and PMBL examined. Interestingly, moderate levels of expression were observed in 33% of the BL. We further confirmed these observations by quantitative RT-PCR and immunohistochemistry in additional MCL cases and other lymphoid neoplasms. Thus, nuclear expression of the SOX11 protein was detected in 93% of all MCL examined but in none of the large series of CLL, FL, nodal and splenic MZL, DLBCL T-cell lymphomas and in only one classical Hodgkin’s lymphoma. In concordance with the gene expression array studies, we detected variable SOX11 expression in BL. In addition, two of the three T-PLL and all LBL were also positive. However, these tumors are not usually mistaken for MCL.

Like Ek et al.16 we observed immunohistochemical reactivity with a dot-like pattern in the cytoplasm of lymphoid cells in reactive germinal centers and in some lymphomas but notably this pattern was not observed in MCL. The significance of this pattern is not clear but most probably does not correspond to the presence of SOX11 since it was only detected in non-MCL and reactive tissues that do not have detectable SOX11 mRNA by microarray gene expression profiling or quantitative RT-PCR. Altogether, these findings confirm that high SOX11 mRNA levels and detection of the nuclear protein are reliable markers of MCL.

These results prompted us to investigate SOX11 expression in our cyclin D1-negative MCL. Interestingly, all of them showed strong SOX11 nuclear protein expression indicating that this may indeed be a useful marker to identify these tumors. Ek et al.16 included a case of apparently cyclin D1-negative MCL in their series. However, this case had a t(11;14) translocation and, therefore, the lack of detection of cyclin D1 was most probably the consequence of a technical problem. This observation suggests that SOX11 may also be a reliable marker for diagnosing conventional MCL when cyclin D1 detection fails for technical reasons.

Gene expression array studies showed that cyclin D1-negative MCL overexpressed cyclin D2 or D3, and some cases carried chromosomal translocations of these genes.7,12,15,20 We confirmed the high levels of these two cyclins in the newly studied cyclin D1-negative MCL cases and in one case we demonstrated a cyclin D2 rearrangement by FISH. Cyclin D2 and D3 were detected immunohistochemically in the cyclin D1-negative tumors but also in most non-MCL without marked differences in the intensity of the staining.
probably due to the lower discriminatory power of this technique which would, therefore, be of limited value in the differential diagnosis of these tumors.

In conclusion, we have confirmed the high specificity of SOX11 mRNA and nuclear protein expression as markers for MCL. The detection of this transcription factor is a useful biomarker for identifying true cyclin D1-negative MCL. Although SOX11 can also be detected in some BL, LBL and T-PLL, the different morphological and phenotypic features of these malignancies allow easy recognition of the cases of cyclin D1-negative MCL.

Authorship and Disclosures

AM and CR performed research, collected, analyzed and interpreted data and drafted the manuscript, contributed equally to it; EH, DJ, DW, JD, S-SC, ESJ, CR-M, KE, AR, DC and AL-G collected and interpreted data. CB, AV, FS, SD, LR, RB, RDG and LMS performed research and interpreted data; GO, PJ and EC designed research, analyzed and interpreted data, drafted the manuscript and are co-senior authors.

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